

Dkt. 53437-A-PCT-US/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip O. Livingston and  
Govindaswami Ragupathi

Serial No. : 09/534,711

Filed : March 24, 2000

For : FUCOSYL GM1-KLH CONJUGATE VACCINE AGAINST  
SMALL CELL LUNG CANCER

1185 Avenue of the Americas  
New York, New York 10036  
June 25, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22312-1450  
Mail Stop OIPE

Sir:

COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed an error.

Specifically, under Domestic Priority data as claimed by applicant, there is an indication that the data provided by applicant is inconsistent with PTO records.

A corrected filing receipt should read as follows:

--Domestic Priority data as claimed by applicant

This application is a CON of PCT/US98/20073 09/25/1998

Which is a CIP of 60/059,664 09/25/1997

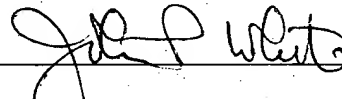
Applicants contend that the correct data may be found in the Declaration and Power of Attorney and the Preliminary Amendment,

Applicants : Philip O. Livingston and  
Govindaswami Ragupathi  
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both filed on March 24, 2000 with the subject application. Copies of the Declaration and Power of Attorney and the Preliminary Amendment are attached hereto as **Exhibits B and C**, respectively. Accordingly, applicants request that a corrected Filing Receipt be issued.

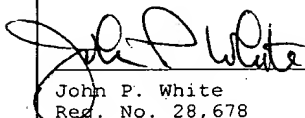
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
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New York, New York 10036  
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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

 4/25/03  
John P. White Date  
Reg. No. 28,678



Applicant Philip O. Livingston et al.  
Client MSK (1747) 53437-A-PCT-US JPW/FHB  
Date June 25, 2003

Kindly acknowledge receipt of the accompanying

Communication To Correct Error In Filing Receipt in connection with Philip O. Livingston and Govindaswami Ragupathi, Fucosyl GM1-KLH Conjugate Vaccine Against Small Cell Lung Cancer, U.S. Serial No. 09/534,711, filed March 24, 2000, including Exhibit A (copy of official Filing Receipt), Exhibit B, (copy of Declaration and Power of Attorney), and Exhibit C (copy of Preliminary Amendment) and a Certificate of Mailing dated June 25, 2003.

by placing your receiving date stamp hereon and returning to us.



## UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,711 ✓	03/24/2000	1642	345	53437-A- PCT- US/JPW/JL	3	16	1

John P White  
 Cooper & Dunham LLP  
 1185 Avenue of the Americas  
 New York, NY 10036

JUN - 9 2003  
 AP

CONFIRMATION NO. 2601  
 CORRECTED FILING RECEIPT



\*OC000000010185049\*

Date Mailed: 06/04/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Philip O Livingston, New York, NY; ✓  
 Govindaswami Ragupathi, New York, NY;

#### Domestic Priority data as claimed by applicant

This application is a CON of PCT/US98/20073 09/25/1998 \*  
 which is a CIP of 60/059,664 09/25/1997  
 (\*)Data provided by applicant is not consistent with PTO records.

#### Foreign Applications

If Required, Foreign Filing License Granted: 06/13/2000

CPA filed on: 04/21/2003

Projected Publication Date: 09/11/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Fucosyl gm1-klh conjugate vaccine against small cell lung cancer ✓

Preliminary Class

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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